

Prudential Job Openings dated 2-9-16

LAW

VP, Corporate Counsel - GRO0008S

Legal

Roseland, NJ

The Group Insurance Law Department is seeking a dynamic attorney to join a highly collaborative and collegial team of lawyers and paralegals that provides legal support to the Group Insurance business unit of Prudential. Primary responsibilities of this position include advising on development of group products (including Disability, Accident and Critical Illness), agent and broker licensing, claims administration, marketing materials, product distribution, product administration/operational matters and regulatory inquiries.

<http://jobs.prudential.com/location/Roseland-NJ/VicePresidentCorporateCounsel/GRO0008S>

VP, Corporate Counsel - JEN00006

Legal

New York, NY

The Jennison Law Department is looking for an experienced asset management attorney to join a small team of lawyers that supports Jennison's business. Jennison's Law Department provides legal advice across a range of issues affecting Jennison's investment management business and works closely with investment professionals including, portfolio managers, traders, and analysts, and colleagues across Jennison's compliance, operations, marketing, relationship management and client service, and finance groups. This position will have a focus on, but not necessarily exclusively, coordinating and providing advice with respect to supporting Jennison's domestic equity and fixed income activities in addition to negotiating investment management contracts and related documentation, and other projects as described above.

<http://jobs.prudential.com/location/New%20York-NY/VicePresidentCorporateCounsel/JEN00006>

VP, Corporate Counsel - PMC0000E

Legal

Newark, NJ

Prudential Mortgage Capital Company (PMCC) seeks an experienced attorney to support its

commercial real estate finance businesses. While PMCCs primary business involves the origination and servicing of commercial and agricultural loans for its affiliated insurance companies, various Agencies (Fannie Mae, Freddie Mac, FHA), and for sale in the capital markets (CMBS), PMCC is increasingly involved in structuring, marketing and establishing investment funds and other investment management arrangements for third party institutional clients.

<http://jobs.prudential.com/location/Newark-NJ/VicePresidentCorporateCounsel/PMC0000E>

VP, Corporate Counsel - PRE000CC

Legal

Madison, NJ

This attorney will fill a newly created position located in the Madison and New York offices, reporting to the Chief Counsel for the Americas, as the principal internal counsel supporting the fund formation and investment management activities of the portfolio management teams based in its U.S. and Latin American offices. In this capacity, the attorney will: serve as lead internal counsel on the structuring, marketing and establishment of new funds and other new real estate investment products; serve as lead internal counsel on day-to-day fund management and governance; provide legal support on the structuring, negotiation and establishment of joint ventures that form part of the funds investment strategies; provide legal support in relation to the ongoing management and governance of the business unit in relation to the parent company. Given the cross border nature of the business, some international travel is likely to be involved.

<http://jobs.prudential.com/location/Madison-NJ/VicePresidentCorporateCounsel/PRE000CC>

VP, Corporate Counsel - PUB00000

Legal

Newark, NJ

Prudential is looking for an experienced structured products attorney to support Prudential Fixed Income, the primary public fixed income asset management unit within Prudential Investment Management, Inc., an SEC-registered investment adviser. Job responsibilities will include: serving as the primary attorney responsible for providing advice and support to Prudential Fixed Incomes structured finance group, including the review and negotiation of complex structured bond and loan transactions; drafting and negotiating documents in connection with the structuring and launch of CLOs for which Prudential Fixed Income serves as collateral manager; providing advice and support in connection with Prudential Fixed Incomes ABS, CMBS and bank loan trading and management; addressing issues related to litigation, troubled assets and work-outs; and providing advice regarding various regulatory matters affecting structured products. This attorney will join a team of seven attorneys supporting Prudential Fixed Income.

<http://jobs.prudential.com/location/Newark-NJ/VicePresidentCorporateCounsel/PUB00000>

VP, Corporate Counsel - JEN00007

Legal

New York, NY

The Jennison Law Department is looking for an experienced asset management attorney with management experience to join a small team of lawyer that supports Jennisons asset management business to specifically focus on new business initiatives and the creation of new investment products and vehicles. Representative projects and responsibilities include: provide legal and regulatory advice to Jennison with respect to the structuring, distribution and development of new products and registered and unregistered investment vehicles in the US and outside the US; provide legal and regulatory advice with respect to various other types of clients and investment vehicles managed by Jennison, including but not limited to UCITS, registered and unregistered insurance separate accounts, and US and non-US pension funds; provide legal and regulatory advice to Jennison in its role as a subadviser to mutual funds and review and draft disclosures relating to Jennison in mutual fund filings; review and provide legal and regulatory advice on new regulatory developments, including working with colleagues across the Prudential Law Department in working groups and participating in industry groups; review and negotiate vendor contracts; provide legal and regulatory advice to Compliance Department on compliance issues, regulatory developments and assist in development of procedures; review and provide legal and regulatory advice on marketing materials; and other projects as assigned.

<http://jobs.prudential.com/location/New%20York-NY/VPCorporateCounsel/JEN00007>

Director, High Tech Investigations Unit - INV000BF

Legal

Newark, NJ

We are a Fortune 100 financial services leader with a dynamic and diverse workforce and a strong emphasis on talent management. Our High Tech Investigations Unit, part of the larger Corporate Investigations Division, is seeking an experienced individual for the position of Director, High Tech Investigations Unit. In this capacity, the Director is responsible for conducting end-to-end investigations into incidents of, but not limited to, reported data loss, intellectual property theft, malicious network activity, misuse of company resources, conflicts of interest, violations of the Digital Millennium Copyright Act (DMCA), and violations of Prudentials internal policies and procedures. This position requires the ability to conduct forensic examinations of Microsoft/Apple/Blackberry host and mobile devices, conduct subject interviews, and write clear and concise findings reports for management and stakeholders. The position will also require the ability to provide forensic audio services, including audio enhancement. The Director will have the opportunity to identify new tools and methods for proactively identifying misconduct involving computer technology, as well as review and update the groups Standard Operations Procedures. When needed, the Director will be required to assist in the collection of electronically stored information (ESI) in support of the firms eDiscovery practices.

<http://jobs.prudential.com/location/Newark-NJ/DirectorHighTechInvestigationsUnit/INV000BF>

Director, Corporate Counsel - TEC00038

Legal

Roseland, NJ

The Technology and Enterprise Contracts Law Group of the Prudential Law Department seeks an attorney who has been admitted to the bar for 1-4 years to join its group of attorneys and professionals that provide legal support to the Prudential Financial enterprise on technology and vendor agreements. Technology and Enterprise Contracts Law Group is part of the Operations and Systems Law Team. Under a senior attorneys guidance, this will be responsible for providing basic legal advice to Prudentials businesses and for ensuring that Prudential businesses operate in accordance with various legal parameters.

<http://jobs.prudential.com/location/Roseland-NJ/DirectorCorporateCounsel/TEC00038>

COMPLIANCE

VP, Business Ethics - GLO000DK

Compliance

Newark, NJ

A core value of Prudential is to be worthy of the trust of its customers, employees and shareholders and to further that value maintains an enterprise-wide Business Ethics & Integrity office. We are seeking a senior professional to direct the day-to-day work of this office located in our headquarters in Newark, New Jersey and will report to Prudentials Corporate Chief Ethics Officer, who works in both our Japan and New Jersey offices. The Enterprise Business Ethics Office seeks to positively influence the ethical behavior of our 50,000 employees in our various businesses in the United States and around the world. The Ethics Office is comprised of a corporate center with a staff of 9. This position supervises these professionals. In addition, the Business Ethics & Integrity office also maintains matrix relationships with business unit ethics officers who represent each of Prudentials businesses and corporate functions. The successful candidate will bring familiarity with current practices in the area of business ethics, as well as complementary related functions including Human Resources, Risk Management, Compliance and Law. This Vice President, together with directly supervised corporate center ethics personnel and business unit ethics officers, will address multiple projects related to the ethics program.

<http://jobs.prudential.com/location/Newark-NJ/VPBusinessEthics/GLO000DK>

Compliance Manager - Investment Compliance Group - INV000CK
Compliance
Newark, NJ

Asset Management Compliance currently has a Manager level position available, supporting PGIM in Newark, New Jersey. PGIM manages approximately \$947 billion in client assets, as of September 30, 2015, in multiple strategies. Asset Management Compliance is responsible for ensuring that all portfolios managed by PGIM are in compliance with applicable regulatory and contractual requirements, and for ensuring that the activities of PGIM associates are in accordance with Prudential's policies. Asset Management Compliance serves as a general resource for resolving legal and interpretive issues relating to the asset management function. The position reports to the Director of Compliance for PMCC to provide day-to-day compliance support to Prudential Mortgage Capital Company (PMCC), the private commercial mortgage investment unit of PGIM. Principal Job Responsibilities: this position provides direct, day-to-day compliance support to PMCC. This is a challenging position with high exposure and strong compliance and regulatory knowledge, attention to detail and solid project management skills are essential. In addition, the position will assist in monitoring business activities to ensure they are performed in compliance with Company policies, securities laws, and SEC, FINRA, and applicable foreign regulations.

<http://jobs.prudential.com/location/Newark-NJ/ComplianceManager/INV000CK>

Compliance Manager - Field Support & Examinations - FIE0000J
Compliance
Dresher, PA

This position reports directly to the Director of the Prudential Advisors Field Support & Examinations Unit. The individual will support the Field Support & Examinations Unit in implementing compliance oversight procedures by assisting on field office inspections and providing compliance support for the Prudential Advisors business, as well as supporting various compliance control and testing activities. Role requires presence in Prudential Advisors home office locations (Newark and Woodbridge, NJ) as needed for key meetings with business partners and Compliance Management

<http://jobs.prudential.com/location/Dresher-PA/ManagerFieldSupportExaminationsCompliance/FIE0000J>

Compliance Manager - Adviser Due Diligence - PRU000KV
Compliance
Newark, NJ

Prudential Investments (PI) Compliance has two open compliance positions that focus on

compliance oversight of investment advisers and sub-advisers (collectively advisers) to products within Prudential's domestic business. The candidate will provide critical support to the Director, Compliance: Regulatory Due Diligence, by conducting on-site and telephonic adviser reviews. He or she will evaluate the effectiveness of an adviser's compliance program. Significant travel is required in this position. Responsibilities include: Performing regulatory due diligence reviews of new and existing investment advisers for Prudential sponsored mutual funds and other products to ensure advisers are meeting regulatory and best practice requirements.

<http://jobs.prudential.com/location/Newark-NJ/ComplianceManagerAdviserDueDiligence/PRU000KV>

Compliance Manager - Marketing Material Review - GRO0008R
Compliance
Roseland, NJ

The Marketing Material Reviewer will be primarily responsible for compliance functions with regard to the approval of sales and advertising material. The position involves marketing material review, including the review of new product, reference, training, educational materials, digital advertising, and other public communications to ensure compliance with regulatory requirements, internal company policies and procedures; and the preparation of necessary filings with appropriate regulatory agencies. Responsibilities can include both broad compliance issues (e.g., evaluation of new regulations, research on major issues, and development of Prudential's response to proposed regulations) and more narrowly defined issues. This position will report to a Compliance Director.

<http://jobs.prudential.com/location/Roseland-NJ/ComplianceManagerMarketingMaterialReview/GRO0008R>